

05-17-00

GAU 2721 #2

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
800.999705In Re Application Of: **Juliana H.J. Brooks**Serial No.
09/396,112Filing Date
Sep. 15, 1999Examiner
N/AGroup Art Unit
2721Title: **METHOD AND SYSTEM FOR BIOMETRIC RECOGNITION BASED ON ELECTRIC AND/OR
MAGNETIC CHARACTERISTICS**

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0822** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____

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I certify that this document and fee is being deposited on **May 15, 2000** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Robert P. Simpson

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Signature

Dated: **May 15, 2000**

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RPS/KRB

CC:



U.S. UTILITY PATENT APPLICATION
ATTORNEY DOCKET NO. 800.999705

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
In the Matter of United States Patent Application:

Applicant: Juliana H.J. Brooks

Examiner: N/A

Serial No.: 09/396,112

Art Unit: 2721

Filed: Sep. 15, 1999

For: METHOD AND SYSTEM FOR BIOMETRIC RECOGNITION
BASED ON ELECTRIC AND/OR MAGNETIC CHARACTERISTICS

Certificate of Mailing by First Class Mail

I certify that this Information Disclosure Statement is being deposited on May 15, 2000 with the U.S. Postal Service as first class mail under 37 C.F.R. §1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Robert P. Simpson, Esq.,
Regis. No. 33,034

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Honorable Sir:

This Information Disclosure Statement is submitted in compliance with 37 C.F.R. §§ 1.56 and 1.97 through 1.99

Record is made herebelow of published art for consideration during prosecution of the above-identified patent application. No representation is made or intended that a search has been

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made or, if made, was complete, or that no more pertinent art than that listed is available. It is expected that the Patent and Trademark Office will conduct an independent search for relevant prior art.

Unless otherwise indicated, a full text copy of the entire reference of published art is attached. Where specifically indicated, an equivalent English language patent or publication is attached in lieu of the translation.

In accordance with the Patent and Trademark Office Notice of August 15, 1980, also enclosed is a completed Form PTO-A820.

Please consider the following published art:

U.S. Design Patent No. 290,817 (Yuen) July 14, 1987 discloses an electronic currency tester.

U.S. Design Patent No. 283,405(Yuen) April 15, 1986 discloses an electronic currency tester.

U.S. Patent No. 3,639,905 (Yaida et al.) February 1, 1972 discloses a credit card system having means for sensing if object is living.

U.S. Patent No. 4,227,071 (Tomy) October 7, 1980 discloses an electronic currency counter.

U.S. Patent No. 4,524,692 (Rodvelt) June 25, 1985 discloses an electronic ink flow control for printing.

U.S. Patent No. 4,926,368 (Morita et al.) May 15, 1990 discloses an electronic apparatus with currency conversion function.

U.S. Patent No. 4,935,962 (Austin) June 19, 1990 discloses a method and system for authentication.

U.S. Patent No. 4,972,099 (Amano et al.) November 20, 1990 discloses a sensor card.

U.S. Patent No. 5,077,804 (Richard) December 31, 1991 discloses a telecommunications device and related method.

U.S. Patent No. 5,310,035 (Dobansky, Jr. et al.) May 10, 1994 discloses a paper and coin currency totalizer for an existing vending machine.

U.S. Patent No. 5,420,405 (Chasek) May 30, 1995 discloses a secure, automated transaction system that supports an electronic currency operating in mixed debit and credit modes.

U.S. Patent No. 5,453,601 (Rosen) September 26, 1995 discloses an electronic-monetary system.

U.S. Patent No. 5,455,407 (Rosen) October 3, 1995 discloses an electronic-monetary system.

U.S. Patent No. 5,521,362 (Powers) May 28, 1996 discloses an electronic purse card having multiple storage memories to prevent fraudulent usage and method therefore.

U.S. Patent No. 5,529,307 (Chang) June 25, 1996 discloses an automatic golf ball dispenser.

U.S. Patent No. 5,544,255 (Smithies et al.) August 6, 1996 discloses a method and system for the capture, storage, transport and authentication of handwritten signatures.

U.S. Patent No. 5,561,446 (Montlick) October 1, 1996 discloses a method and apparatus for wireless remote information retrieval and pen-based data entry.

U.S. Patent No. 5,567,276 (Boehm et al.) October 22, 1996 discloses a paper of value and a method of producing it.

U.S. Patent No. 5,644,727 (Atkins) July 1, 1997 discloses a system for the operation and management of one or more financial accounts through the use of a digital communication and computation system for exchange, investment and borrowing.

U.S. Patent No. 5,647,017 (Smithies et al.) July 8, 1997 discloses a method and system for the verification of handwritten signatures.

U.S. Patent No. 5,687,254 (Poon et al.) November 11, 1997 discloses a searching and matching unrecognized handwriting.

U.S. Patent No. 5,704,355 (Bridges) January 6, 1998 discloses a non-invasive system for breast cancer detection.

U.S. Patent No. 5,715,314 (Payne et al.) February 3, 1998 discloses a network sales system.

U.S. Patent No. 5,719,950 (Osten et al.) February 17, 1998 discloses a biometric, personal authentication system.

U.S. Patent No. 5,724,424 (Gifford) March 3, 1998 discloses a digital active advertising.

U.S. Patent No. 5,724,524 (Hunt et al.) March 3, 1998 discloses a method and system for listing, brokering, and exchanging carrier capacity.

U.S. Patent No. 5,768,423 (Aref et al.) June 16, 1998 discloses a trie structure based method and apparatus for indexing and searching handwritten databases with dynamic search sequencing.

U.S. Patent No. 5,799,087 (Rosen) August 25, 1998 discloses an electronic-monetary system.

U.S. Patent No. 5,802,497 (Manasse) September 1, 1998 discloses a method and apparatus for conducting computerized commerce.

U.S. Patent No. 5,807,257 (Bridges) September 15, 1998 discloses a breast cancer detection, imaging and screening by electromagnetic millimeter waves.

U.S. Patent No. 5,818,955 (Smithies et al.) October 6, 1998 discloses a document and signature verification system and method.

U.S. Patent No. 5,832,089 (Kravitz et al.) November 3, 1998 discloses an off-line compatible electronic cash method and system.

U.S. Patent No. 3,557,777 (Cohen) January 26, 1971 discloses a magnetic study of bioelectric phenomena.

U.S. Patent No. 5,245,329 (Gokcebay) September 14, 1993 discloses an access control system with mechanical keys which store data.

U.S. Patent No. 5,337,043 (Gokcebay) August 9, 1994 discloses an access control system with mechanical keys which store data.

Star Micronics: Star Card chosen in Roxburgh ID application for corporate branding initiative.

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Could plastic kill cash at last? (the advent of the newly-developed Mondex plastic payment system). <http://www.elibrary.com>, The Chartered Institute of Management Accountants (UK), Dec. 9, 1998.

Surfaces and Displays. <http://Nicholas.www.media.mit.edu>, WIRED Ventures Ltd., Vol. 5.01, Dec. 2, 1998.

Towards a Cashless Society: Electronic Wallets. <http://wwwcordis.lu/esprit>, Dec. 3, 1998.

NetPay Payment System. <http://www.maithean.com>, Maithean Secure Electronic Commerce Solutions, Dec. 3, 1998.

Café: <<http://www.digicash.com/products/projects/café.html>>. <http://intertrader.com>, Digital Money Online, Dec. 3, 1998.

An electrophoretic ink for all-printed reflective electronic displays. Nature, Vol. 394, 16 July 1998, pp. 253-255.

Mutant bacteria, electronic ink and paper under development – Offbeat technologies may hold key to displays. EETIMES-Issue 1022. <http://www.techweb.com>, CMP Media Inc., Dec. 3, 1998.

Respectfully submitted,



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Enclosures (43)